D

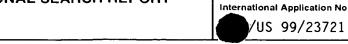


INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference		n of Transmittal of International Search Report √220) as well as, where applicable, item 5 below.			
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)			
PCT/US 99/23721	07/10/1999	13/10/1998			
Applicant GENERAL INSTRUMENT CORPOR	ATION et al.				
according to Article 18. A copy is being tra		uthority and is transmitted to the applicant			
This International Search Report consists It is also accompanied by	of a total of sheets. a copy of each prior art document cited in the	nis report.			
Basis of the report					
	international search was carried out on the t less otherwise indicated under this item.	pasis of the international application in the			
the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).					
was carried out on the basis of th		international application, the international search			
	ernational application in computer readable for	orm.			
	this Authority in written form.	•			
furnished subsequently to	this Authority in computer readble form.	•			
the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.					
the statement that the info	ormation recorded in computer readable form	n is identical to the written sequence listing has been			
2. Certain claims were fou	nd unsearchable (See Box I).				
3. Unity of invention is lac	king (see Box II).				
4. With regard to the title,					
the text is approved as su					
	shed by this Authority to read as follows:				
SOFT WARE APPLICATION	LIFE CYCLE AND MANAGEMENT	FOR BROADCAST APPLICATIONS			
		•			
5. With regard to the abstract,					
the text is approved as su	, ,,	ority as it appears in Box III. The applicant may,			
within one month from the	e date of mailing of this international search	report, submit comments to this Authority.			
6. The figure of the drawings to be pub	•				
as suggested by the appl		None of the figures.			
because the applicant fail					
because this figure better	characterizes the invention.				

INTERNATIONAL SEARCH REPORT



A. CLASSIFICATION OF SUBJECT MATTER IPC 7 H04N5/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

 $\label{eq:minimum} \begin{array}{ll} \mbox{Minimum documentation searched (classification system followed by classification symbols)} \\ \mbox{IPC 7} & \mbox{H04N} \end{array}$

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

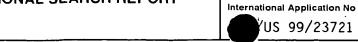
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUM	ENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	RATH K ET AL: "Set-top box control software: a key component in digital video" PHILIPS JOURNAL OF RESEARCH,NL,ELSEVIER,	1-4,15, 21
	AMSTERDAM, vol. 50, no. 1, page 185-199 XP004008210 ISSN: 0165-5817 page 195, line 4 -page 196, line 16	
	figures 4,6	
X	US 5 768 539 A (DESA COLIN JOSEPH ET AL) 16 June 1998 (1998-06-16) abstract	1-4,6,21
	column 5, line 19 -column 5, line 29 column 5, line 49 -column 6, line 29 column 25, line 4 -column 27, line 64	,
Α	column 42, line 8 -column 42, line 42 figures 6,7,9	5,7-20
	-/	, <u> </u>

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filling date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filling date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "3" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
26 January 2000	10/02/2000
Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo nl, Fax: (+31–70) 340–3016	Hampson, F

2

INTERNATIONAL SEARCH REPORT



-NA DOCUMENTO CONCIDENCE TO DE DEL CUANT	03 99/	
		Relevant to claim No.
EVAIN J -P: "THE MULTIMEDIA HOME PLATFORM" EBU REVIEW- TECHNICAL, BE, EUROPEAN BROADCASTING UNION. BRUSSELS, no. 275, page 4-10 XP000767493 ISSN: 0251-0936 page 6, left-hand column, line 10 -page 6, middle column, line 8 page 7, right-hand column, line 16 -page 8, left-hand column, line 44		1-4,12, 13,15-21
DE 196 50 515 A (INST RUNDFUNKTECHNIK GMBH) 25 June 1998 (1998-06-25) abstract column 1, line 1 -column 2, line 29 figure 2		1,21
WALL W. E.: "An Advanced Video Platform for the Cable Industry" FOURTH INTERNATIONAL WORKSHOP ON COMMUNITY NETWORKING PROCESSING, 11 - 12 September 1997, pages 31-33, XP002128740 Atlanta, GA, USA page 32, right-hand column, line 3 -page 32, right-hand column, line 29		1,21
	EVAIN J -P: "THE MULTIMEDIA HOME PLATFORM" EBU REVIEW- TECHNICAL, BE, EUROPEAN BROADCASTING UNION. BRUSSELS, no. 275, page 4-10 XP000767493 ISSN: 0251-0936 page 6, left-hand column, line 10 -page 6, middle column, line 8 page 7, right-hand column, line 16 -page 8, left-hand column, line 44 figure 3 —— DE 196 50 515 A (INST RUNDFUNKTECHNIK GMBH) 25 June 1998 (1998-06-25) abstract column 1, line 1 -column 2, line 29 figure 2 WALL W. E.: "An Advanced Video Platform for the Cable Industry" FOURTH INTERNATIONAL WORKSHOP ON COMMUNITY NETWORKING PROCESSING, 11 - 12 September 1997, pages 31-33, XP002128740 Atlanta, GA, USA page 32, right-hand column, line 3 -page 32, right-hand column, line 29 ———————————————————————————————————	Citation of document, with indication, where appropriate, of the relevant passages EVAIN J -P: "THE MULTIMEDIA HOME PLATFORM" EBU REVIEW— TECHNICAL, BE, EUROPEAN BROADCASTING UNION. BRUSSELS, no. 275, page 4-10 XP000767493 ISSN: 0251-0936 page 6, left—hand column, line 10 -page 6, middle column, line 8 page 7, right—hand column, line 16 -page 8, left—hand column, line 44 figure 3 DE 196 50 515 A (INST RUNDFUNKTECHNIK GMBH) 25 June 1998 (1998-06-25) abstract column 1, line 1 -column 2, line 29 figure 2 WALL W. E.: "An Advanced Video Platform for the Cable Industry" FOURTH INTERNATIONAL WORKSHOP ON COMMUNITY NETWORKING PROCESSING, 11 - 12 September 1997, pages 31-33, XP002128740 Atlanta, GA, USA page 32, right—hand column, line 3 -page 32, right—hand column, line 29

INTERNATIONAL SEARCH REPORT

nfor on patent family members

International Application No

'US 99/23721

Patent document cited in search report		Publication date		atent family member(s)	Publication date
US 5768539	Α	16-06-1998	US	5666293 A	09-09-1997
			US	5734589 A	31-03-1998
•			US	5635979 A	03-06-1997
			US	5978855 A	02-11-1997
			AU	2657995 A	21-12-1995
			WO	9533338 A	07-12-1995
DE 19650515	 А	25-06-1998	NONE		

PATENT COOPERATION TREATY

PCT

REC"	MAY	2001
WIPO		PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

14

Applicant's or agent's file reference GIC-555 PCT	FOR FURTHER ACTION	See Notific Preliminary I	eation of Transmittal of International Examination Report (Form PCT/IPEA/416)	
International application No.	International filing date (day/n	month/year)	Priority date (day/month/year)	
PCT/US99/23721	07 OCTOBER 1999		13 OCTOBER 1998 RECEIVED	
International Patent Classification (IPC) IPC(7): H04N 7/14 and US Cl.: 725	or national classification and II 5/112, 132	PC	JUL 1 1 2001	
Applicant GENERAL INSTRUMENT CORPORA	ATION		Technology Center 2100	
This international prelimina Examining Authority and is This REPORT consists of a	transmitted to the applicant	s been prepare	ed by this International Preliminary Article 36.	
This report is also accom	panied by ANNEXES, i.e., she	heets containing	ription, claims and/or drawings which have grectifications made before this Authority. Index the PCT).	
These annexes consist of a to	otal of sheets.		ļ	
3. This report contains indication	ns relating to the following i	items:		
I X Basis of the repo	rt			
II Priority				
III Non-establishmer	nt of report with regard to n	novelty, inventi	ve step or industrial applicability	
IV Lack of unity of	invention		1	
V X Reasoned stateme	terment under Article 35(2) with regard to novelty, inventive step or industrial applicability; explanations supporting such statement			
VI Certain documents cited				
VII Certain defects in the international application				
	ns on the international applica	ation	·	
Date of submission of the demand	Da	ate of completion	n of this report	
05 APRIL 2000		17 APRIL 2001	1	
Name and mailing address of the IPEA	/US Aut	thorized officer		
Commissioner of Patents and Trade Box PCT		JOHN W. MIL	LER / 100 · V	
Washington, D.C. 20231	Tel	lephone No. ((703) 505-4755	
Facsimile No. (703) 305-3230			7-1	

Form PCT/IPEA/409 (cover sheet) (July 1998) *

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US99/23721

I.	Ba	sis of t	the report	
1	With	regard t	to the elements of the international application:*	
1.		-	ernational application as originally filed	
	님		scription:	
	X		(See Attached)	, as originally filed
		pages		, filed with the demand
		pages	, filed with the letter of	
	X	the cla	(See Attached)	as originally filed
		pages	, as amended (together with any	statement) under Article 19
		pages	, as amendes (together was als,	, filed with the demand
		pages	, filed with the letter of	
	X	the dra	awings:	
			(See Attached)	, as originally filed, filed with the demand
		pages	, filed with the letter of	, med with the demand
		pages	, thet with the letter of	
	X	the sec	quence listing part of the description:	
	ت	pages	(See Attached)	, as originally filed
		pages		, filed with the demand
		pages	, filed with the letter of	
		the lan	nguage of a translation furnished for the purposes of international search anguage of publication of the international application (under Rule 48.3(b)) guage of the translation furnished for the purposes of international preliminary execution.	(under Rule 23.1(b)).).
3	3. Wi	th regar climinar	rd to any nucleotide and/or amino acid sequence disclosed in the internation by examination was carried out on the basis of the sequence listing:	al application, the international
		contai	ned in the international application in printed form.	
		filed t	ogether with the international application in computer readable form.	
	同	furnisl	hed subsequently to this Authority in written form.	
	一	furnisl	hed subsequently to this Authority in computer readable form.	
		The st	atement that the subsequently furnished written sequence listing does not go ational application as filed has been furnished.	beyond the disclosure in the
		The sta	atement that the information recorded in computer readable form is identical to turnished.	he writen sequence listing has
، ا	4. X	The a	amendments have resulted in the cancellation of:	
		X	the description, pagesNONE	
		X	the claims, Nos. NONE	
		\mathbf{x}	the drawings, sheets/fig NONE	
	5.	This r	eport has been drawn as if (some of) the amendments had not been made, since the	ney have been considered to go
	_	bevoi	nd the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**	
	in t	lacement his repo	it sheets which have been furnished to the receiving Office in response to an invitation ort as "originally filed" and are not annexed to this report since they do not con.	unaer Anicie 14 are referred to uain amendments (Rules 70.16
1			ement sheet containing such amendments must be referred to under item 1 and o	annexed to this report.

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US99/23721

Inventive Step (IS) Claims 1-26 Claims NONE Industrial Applicability (IA) Claims 1-26 Claims NONE Industrial Applicability (IA) Claims 1-26 Claims NONE Claims 1-26 NONE NONE Citations and explanations (Rule 70.7) Claims 1-26 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest an API which provides an ITU-T X.731-based mechanism for monitoring and controlling applications, an API which enables particular applications to advertise their respective states, such as their operational, administrative, or usage states to other applications, or their alarm, availability, or procedural statuses to other applications, an API which enables the retrieval of application information, the recovery of application data via URLs, an API which enables the verification of application integrity, an API which enables the validation of application suitability, and an API which enables administrative application locking and unlocking.	Claims NONE	statement			
Industrial Applicability (IA) Claims Claims	Industrial Applicability (IA) Claims Claims	Novelty (N)	Claims	1-26	YI
Industrial Applicability (IA) Claims Claims	Industrial Applicability (IA) Claims 1-26 YI Claims 1-26 NONE Claims 1-26 or None Claims 1-26 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest an API which provides an ITU-T X.731-based mechanism for monitoring and controlling applications, an API which enables pausing of applications, an API which enables particular applications to advertise their respective states, such as their operational, administrative, or usage states to other application, or their alarm, availability, or procedural statuses to other applications, an API which enables the retrieval of application version information, the recovery of application data via URLs, an API which enables the verification of application integrity, an API which enables the validation of application suitability, and an API which enables administrative application locking and unlocking.		Claims	NONE	NO
Industrial Applicability (IA) Claims Claims	Industrial Applicability (IA) Claims 1-26 YI Claims 1-26 NONE Claims 1-26 or None Claims 1-26 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest an API which provides an ITU-T X.731-based mechanism for monitoring and controlling applications, an API which enables pausing of applications, an API which enables particular applications to advertise their respective states, such as their operational, administrative, or usage states to other application, or their alarm, availability, or procedural statuses to other applications, an API which enables the retrieval of application version information, the recovery of application data via URLs, an API which enables the verification of application integrity, an API which enables the validation of application suitability, and an API which enables administrative application locking and unlocking.	Inventive Step (IS)	Claims	1-26	YI
Claims NONE Claims NONE Claims 1-26 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest an API which provides an ITU-T X.731-based mechanism for monitoring and controlling applications, an API which enables pausing of applications, an API which enables particular applications to advertise their respective states, such as their operational, administrative, or usage states to other applications, or their alarm, availability, or procedural statuses to other applications, an API which enables the retrieval of application version information, the recovery of application data via URLs, an API which enables the verification of application integrity, an API which enables the validation of application suitability, and an API which enables administrative application locking and unlocking.	Claims NONE Citations and explanations (Rule 70.7) Claims 1-26 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest an API which provides an ITU-T X.731-based mechanism for monitoring and controlling applications, an API which enables pausing of applications, an API which enables particular applications to advertise their respective states, such as their operational, administrative, or usage states to other applications, or their alarm, availability, or procedural statuses to other applications, an API which enables the retrieval of application version information, the recovery of application data via URLs, an API which enables the verification of application integrity, an API which enables the validation of application suitability, and an API which enables administrative application locking and unlocking.				NO
Claims NONE Claims NONE Claims 1-26 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest an API which provides an ITU-T X.731-based mechanism for monitoring and controlling applications, an API which enables pausing of applications, an API which enables particular applications to advertise their respective states, such as their operational, administrative, or usage states to other applications, or their alarm, availability, or procedural statuses to other applications, an API which enables the retrieval of application version information, the recovery of application data via URLs, an API which enables the verification of application integrity, an API which enables the validation of application suitability, and an API which enables administrative application locking and unlocking.	Claims NONE Citations and explanations (Rule 70.7) Claims 1-26 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest an API which provides an ITU-T X.731-based mechanism for monitoring and controlling applications, an API which enables pausing of applications, an API which enables particular applications to advertise their respective states, such as their operational, administrative, or usage states to other applications, or their alarm, availability, or procedural statuses to other applications, an API which enables the retrieval of application version information, the recovery of application data via URLs, an API which enables the verification of application integrity, an API which enables the validation of application suitability, and an API which enables administrative application locking and unlocking.				
citations and explanations (Rule 70.7) Claims 1-26 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest an API which provides an ITU-T X.731-based mechanism for monitoring and controlling applications, an API which enables particular applications to advertise their respective states, such as their operational, administrative, or usage states to other applications, or their alarm, availability, or procedural statuses to other applications, an API which enables the retrieval of application version information, the recovery of application data via URLs, an API which enables the verification of application integrity, an API which enables the validation of application suitability, and an API which enables administrative application locking and unlocking.	citations and explanations (Rule 70.7) Claims 1-26 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest an API which provides an ITU-T X.731-based mechanism for monitoring and controlling applications, an API which enables pausing of applications, an API which enables particular applications to advertise their respective states, such as their operational, administrative, or usage states to other applications, or their alarm, availability, or procedural statuses to other applications, an API which enables the retrieval of application version information, the recovery of application data via URLs, an API which enables the verification of application integrity, an API which enables the validation of application suitability, and an API which enables administrative application locking and unlocking.	Industrial Applicability (IA)	Claims	1-26	YI
Claims 1-26 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest an API which provides an ITU-T X.731-based mechanism for monitoring and controlling applications, an API which enables pausing of applications, an API which enables particular applications to advertise their respective states, such as their operational, administrative, or usage states to other applications, or their alarm, availability, or procedural statuses to other applications, an API which enables the retrieval of application version information, the recovery of application data via URLs, an API which enables the verification of application integrity, an API which enables the validation of application suitability, and an API which enables administrative application locking and unlocking.	Claims 1-26 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest an API which provides an ITU-T X.731-based mechanism for monitoring and controlling applications, an API which enables pausing of applications, an API which enables particular applications to advertise their respective states, such as their operational, administrative, or usage states to other applications, or their alarm, availability, or procedural statuses to other applications, an API which enables the retrieval of application version information, the recovery of application data via URLs, an API which enables the verification of application integrity, an API which enables the validation of application suitability, and an API which enables administrative application locking and unlocking.		Claims	NONE	NO
		Claims 1-26 meet the criteria set out in PCT which provides an ITU-T X.731-based mech pausing of applications, an API which enable operational, administrative, or usage states to applications, an API which enables the retrieuRLs, an API which enables the verification suitability, and an API which enables administrative, and an API which enables administrative. NEW CITATIONS	Article 33(2)-(anism for monites particular apploather application of application of application astrative application	coring and controlling applications, an API which enable olications to advertise their respective states, such as their ons, or their alarm, availability, or procedural statuses to on version information, the recovery of application data integrity, an API which enables the validation of applica-	s r o other via

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US99/23721

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 10

I. BASIS OF REPORT:

This report has been drawn on the basis of the description, page(s) 1-40, as originally filed.
page(s) NONE, filed with the demand.
and additional amendments:
NONE

This report has been drawn on the basis of the claims, page(s) NONE, as originally filed.
page(s) NONE, as amended under Article 19.
page(s) NONE, filed with the demand.
and additional amendments:
pages 41-47, filed with the letter of 29 March 2001.

This report has been drawn on the basis of the drawings, page(s) 1-9, as originally filed.
page(s) NONE, filed with the demand.
and additional amendments:
NONE

This report has been drawn on the basis of the sequence listing part of the description: page(s) NONE, as originally filed.
pages(s) NONE, filed with the demand.
and additional amendments:
NONE

What is claimed is:

 A television set-top terminal, comprising: a computer readable medium having computer program code; and

means for executing said computer program code to implement an Application Programming Interface (API) wherein:

application data which defines applications is recovered at the terminal according to locators associated with the applications;

the applications are registered and installed at the terminal;

the API enables running and subsequent stopping of the applications; and

the API enables pausing of the applications once they are running, and subsequent resuming of the applications.

- 2. The terminal of claim 1, wherein: a user is notified of the presence of the applications after registration and installation thereof.
- 3. The terminal of claim 1, wherein: said API enables the retrieval of the applications as downloadable software applications.
- 4. The terminal of claim 1, wherein: said API enables the retrieval of the applications as broadcast software applications.

- 5. The terminal of claim 1, wherein: said API is independent of an operating system and hardware of the terminal.
- 6. A television set-top terminal, comprising: a computer readable medium having computer program code; and

means for executing said computer program code to implement an Application Programming Interface (API) wherein:

application data which defines applications is recovered at the terminal according to locators associated with the applications;

the applications are registered and installed at the terminal; and

the API enables particular ones of the applications to advertise their respective states to other applications.

- 7. The terminal of claim 6, wherein:
 a user is notified of the presence of the
 applications after registration and installation
 thereof.
- 8. The terminal of claim 6, wherein: said API provides an ITU-T X.731-based mechanism for monitoring and controlling the applications.

- 9. The terminal of claim 6, wherein: said API enables at least one of the other applications to access the advertised state of at least one of the particular advertising applications.
- 10. The terminal of claim 6, wherein: said API enables retrieval of version information associated with the applications.
- 11. A television set-top terminal, comprising: a computer readable medium having computer program code; and

means for executing said computer program code to implement an Application Programming Interface (API) wherein:

application data which defines applications is recovered at the terminal according to locators associated with the applications;

the applications are registered and installed at the terminal; and

said locator is in the form of a Uniform Resource Locator (URL).

- 12. The terminal of claim 6, wherein: said API enables verification of the integrity of the applications.
- 13. A television set-top terminal, comprising: a computer readable medium having computer program code; and

PCT/US 99/ 23721
IPEA/US 29 MAR 2001

means for executing said computer program code to implement an Application Programming Interface (API) wherein:

application data which defines applications is recovered at the terminal according to locators associated with the applications;

the applications are registered and installed at the terminal; and

the API enables validation of the suitability of the applications for the terminal.

- 14. The terminal of claim 6, wherein: said API enables administrative locking and unlocking of the applications.
- 15. The terminal of claim 6, wherein: said API enables particular ones of the applications to advertise respective alarm statuses thereof to other ones of the applications.
- 16. The terminal of claim 6, wherein: said API enables particular ones of the applications to advertise respective availability statuses thereof to other ones of the applications.
- 17. The terminal of claim 6, wherein: said API enables particular ones of the applications to advertise respective procedural statuses thereof to other ones of the applications.

- 18. The terminal of claim 6, wherein: said API enables particular ones of the applications to advertise respective operational states thereof to other ones of the applications.
- 19. The terminal of claim 6, wherein: said API enables particular ones of the applications to advertise respective administrative states thereof to other ones of the applications.
- 20. The terminal of claim 6, wherein: said API enables particular ones of the applications to advertise respective usage states thereof to other ones of the applications.
- 21. A method for implementing a software architecture for a television set-top terminal, comprising the steps of:

providing a computer readable medium having computer program code; and

executing said computer program code to implement an Application Programming Interface (API) to:

recover application data which defines applications at the terminal according to a locator associated with the application data;

register and install the applications at the terminal;

enable running and subsequent stopping of the
applications; and

enable pausing of the applications once they are running, and subsequent resuming of the applications.

- 22. The method of claim 21, wherein a user is notified of the presence of the applications after registration and installation thereof.
- 23. A method for implementing a software architecture for a television set-top terminal, comprising the steps of:

providing a computer readable medium having computer program code; and

executing said computer program code to implement an Application Programming Interface (API) to:

recover application data which defines applications at the terminal according to a locator associated with the application data;

register and install the applications at the terminal; and

enable particular ones of the applications to advertise their respective states to other applications.

24. The method of claim 23, wherein a user is notified of the presence of the applications after registration and installation thereof.

. .

- 25. The terminal of claim 11, wherein:
 a user is notified of the presence of the
 applications after registration and installation
 thereof.
- 26. The terminal of claim 13, wherein:
 a user is notified of the presence of the
 applications after registration and installation
 thereof.



From the INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To: BARRY R. LIPSITZ 755 MAIN STREET BUILDING NO. 8 MONROE, CT 06468

PCT

NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

Date of Mailing (day/month/year)

02 MAY 2001

Applicant's or agent's file reference

GIC-555 PCT

International filing date (day/month/year)

Priority Date (day/month/year)

International application No. PCT/US99/23721

07 OCTOBER 1999

13 OCTOBER 1998

IMPORTANT NOTIFICATION

Applicant

GENERAL INSTRUMENT CORPORATION

- The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices)(Article 39(1))(see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/US

Commissioner of Patents and Trademarks

Washington, D.C. 20231

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PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

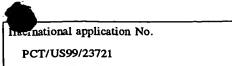
Applicant's or agent's file reference GIC-555 PCT	FOR FURTHER ACTION	See Notifi Preliminary	cation of Transmittal of International Examination Report (Form PCT/IPEA/416)	
International application No.	International filing date (day/m	onth/year)	Priority date (day/month/year)	
PCT/US99/23721	07 OCTOBER 1999		13 OCTOBER 1998	
International Patent Classification (IPC) IPC(7): H04N 7/14 and US Cl.: 725	or national classification and IP 5/112, 132	C		
Applicant GENERAL INSTRUMENT CORPORA	ATION			
Examining Authority and is 2. This REPORT consists of a	total of sheets.	according to	Article 36.	
been amended and are the (see Rule 70.16 and Sec	ne basis for this report and/or shotion 607, of the Administrative	ieets containii	ng rectifications made before this Audiority.	
These annexes consist of a to				
3. This report contains indication	ns relating to the following i	tems:		
I X Basis of the repo	ort			
II Priority				
	nt of report with regard to no	ovelty, inven	tive step or industrial applicability	
		•		
V Reasoned stateme		gard to novelt	ty, inventive step or industrial applicability;	
——————————————————————————————————————				
<u></u>	ns on the international applica	tion		
VIII Certain observatio	ils on the international applica	uon		
- Chairman Shadaman	Dat	e of completic	on of this report	
Date of submission of the demand	Dat	o or complete		
05 APRIL 2000		17 APRIL 20		
Name and mailing address of the IPEA		horized office	·	
Commissioner of Patents and Trade	emarks	JOHN W. M	(703) 505-4765	
Washington, D.C. 20231 Pacsimile No. (703) 305-3230	Tel	ephone No.	(703) 505-4755	

INTERNATIONAL PREMINARY EXAMINATION REPORT

rnational application No.	
PCT/US99/23721	

I.	Ba	sis of t	he report			
				onal application: *		
1.			o the elements of the internation as o			
				ALBIMALI IIIVA		
	X	me des	cription: (See Attached)		, as originally filed	
					, filed with the demand	
		Pages.		, filed with the letter of		
	_	Labos.				
	\mathbf{x}	the cla	ims:		, as originally filed	
		pages	(See Attached)	, as amended (together with an	y statement) under Article 19	
					, filed with the demand	
		pages		, filed with the letter of		
		rages.				
	\mathbf{x}	the dra	awings:		11 - 64 - 1	
		pages	(See Attached)		, as originally filed	
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		pages		, med with the letter of		
	X	the sec	quence listing part of the de	escription:		
	لث	pages	(See Attached)		, as originally filed	
					, filed with the demand	
				, filed with the letter of	•	
2		internati ese elem	ional application was filed, u ents were available or furnish	ents marked above were available or furnished to this inless otherwise indicated under this item. led to this Authority in the following language	which is:	
				mished for the purposes of international search		
	Π			he international application (under Rule 48.3(
	the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and or 55.3).					
3	3. Wi	ith regar	d to any nucleotide and/or	r amino acid sequence disclosed in the internation out on the basis of the sequence listing:	onal application, the international	
		contai	ned in the international ap	pplication in printed form.		
				onal application in computer readable form.		
	H	l.	hed subsequently to this A			
				Authority in computer readable form.		
		l l The et		atly furnished written sequence listing does not g	go beyond the disclosure in the	
		The sta		recorded in computer readable form is identical to	the writen sequence listing has	
	4. X	The a	mendments have resulted	in the cancellation of:		
		X	the description, pages	NONE		
		T	the claims, Nos.	NONE		
			the drawings, sheets/fig	NONE		
	5.	This r	eport has been drawn as if (s	some of) the amendments had not been made, since	they have been considered to go	
	_	bewo	nd the disclosure as filed as	indicated in the Supplemental Box (Rule 70.2(c)).*	•	
	in	placemen this repo	t sheets which have been furni ort as "originally filed" and	ished to the receiving Office in response to an invitation are not annexed to this report since they do not come	on under Amicie 14 are rejerred to contain amendments (Rules 70.16	
L	**An	ıy replac	ement sheet containing such	amendments must be referred to under item 1 and	d annexed to this report.	





V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement					
1.	statement				
	Novelty (N)	Claims	1-26	_ YES	
		Claims	NONE	_ NO	
	Inventive Step (IS)	Claims	1-26	_ YES	
	. . · ·	Claims	NONE	_ NO	
	Industrial Applicability (IA)	Claims Claims	1-26 NONE	_ YES _ NO	
		Claims			
	Claims 1-26 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest an API which provides an ITU-T X.731-based mechanism for monitoring and controlling applications, an API which enables pausing of applications, an API which enables particular applications to advertise their respective states, such as their operational, administrative, or usage states to other applications, or their alarm, availability, or procedural statuses to other applications, an API which enables the retrieval of application version information, the recovery of application data via URLs, an API which enables the verification of application integrity, an API which enables the validation of application suitability, and an API which enables administrative application locking and unlocking. NEW CITATIONS				
1					

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 10

I. BASIS OF REPORT:

This report has been drawn on the basis of the description, page(s) 1-40, as originally filed.
page(s) NONE, filed with the demand.
and additional amendments:
NONE

This report has been drawn on the basis of the claims, page(s) NONE, as originally filed. page(s) NONE, as amended under Article 19. page(s) NONE, filed with the demand. and additional amendments: pages 41-47, filed with the letter of 29 March 2001.

This report has been drawn on the basis of the drawings, page(s) 1-9, as originally filed.
page(s) NONE, filed with the demand.
and additional amendments:
NONE

This report has been drawn on the basis of the sequence listing part of the description: page(s) NONE, as originally filed.
pages(s) NONE, filed with the demand.
and additional amendments:
NONE

HILL BALLINGT

What is claimed is:

 A television set-top terminal, comprising: a computer readable medium having computer program code means; and

means for executing said computer program code means to implement an Application Programming Interface (API) wherein:

application data which defines applications is recovered at the terminal according to locators associated with the applications;

the applications are registered and installed at the terminal; and

a user is notified of the presence of the applications after registration and installation thereof.

- 2. The terminal of claim 1, wherein: said API enables the retrieval of the applications as downloadable software applications.
- 3. The terminal of claim 1, wherein: said API enables the retrieval of the applications as broadcast software applications.
- 4. The terminal of claim 1, wherein: said API is independent of an operating system and hardware of the terminal.
 - 5. The terminal of claim 1, wherein:

said API provides an ITU-T X.731-based mechanism for monitoring and controlling the applications.

- 6. The terminal of claim 1, wherein: said API enables running and subsequent stopping of the applications.
- 7. The terminal of claim 6, wherein: said API enables pausing of the applications once they are running, and subsequent resuming of the applications.
- 8. The terminal of claim 1, wherein: said API enables particular ones of the applications to advertise their respective states to other applications.
- 9. The terminal of claim 8, wherein: said API enables at least one of the other applications to access the advertised state of at least one of the particular advertising applications.
- 10. The terminal of claim 1, wherein: said API enables retrieval of version information associated with the applications.
- 11. The terminal of claim 1, wherein: said locator is in the form of a Uniform Resource Locator (URL).

WO 00/22816 PCT/US99/23721

43

- 12. The terminal of claim 1, wherein: said API enables verification of the integrity of the applications.
- 13. The terminal of claim 1, wherein: said API enables validation of the suitability of the applications for the terminal.
- 14. The terminal of claim 1, wherein: said API enables administrative locking and unlocking of the applications.
- 15. The terminal of claim 1, wherein: said API enables particular ones of the applications to advertise respective alarm statuses thereof to other ones of the applications.
- 16. The terminal of claim 1, wherein: said API enables particular ones of the applications to advertise respective availability statuses thereof to other ones of the applications.
- 17. The terminal of claim 1, wherein: said API enables particular ones of the applications to advertise respective procedural statuses thereof to other ones of the applications.
 - 18. The terminal of claim 1, wherein:

WO 00/22816 PCT/US99/23721

said API enables particular ones of the applications to advertise respective operational states thereof to other ones of the applications.

- 19. The terminal of claim 1, wherein: said API enables particular ones of the applications to advertise respective administrative states thereof to other ones of the applications.
- 20. The terminal of claim 1, wherein: said API enables particular ones of the applications to advertise respective usage states thereof to other ones of the applications.
- 21. A method for implementing a software architecture for a television set-top terminal, comprising the steps of:

providing a computer readable medium having computer program code means; and

executing said computer program code means to implement an Application Programming Interface (API) to:

recover application data which defines applications at the terminal according to a locator associated with the application data;

register and install the applications at the terminal; and

notify a user of the presence of the applications after registration and installation thereof.